



PATENT
ATTORNEY DOCKET NO. 50110/004002

Receipt
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Moya Kinnealey

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Moya Kinnealey
Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael R. Hayden et al.

Art Unit: 1616

Serial No.: 09/654,323

Examiner:

Filed: September 1, 2000

Title: Compositions and Methods for Modulating HDL Cholesterol and Triglyceride
Levels

Commissioner For Patents
Washington, D.C. 20231

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FEB 23 2001

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PETITION TO CORRECT FILING RECEIPT

Applicant requests that the attached filing receipt be corrected as follows:

Under Total Claims, replace "72" with --99--; and

Under Continuing Data as Claimed by Applicant, replace "THIS APPLICATION IS A CON OF
09/526,193" with the priority information listed on the enclosed transmittal.

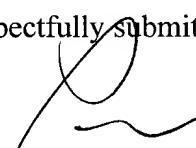
Attached is a copy of the incorrect filing receipt.

If there are any charges, or any credits, please apply them to Deposit Account No.

03-2095.

Respectfully submitted,

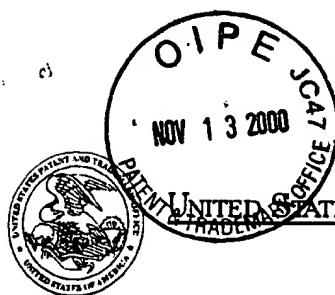
Date: Nov. 7, 2000



Paul T. Clark
Reg. No. 30,162

Clark & Elbing LLP
176 Federal Street
Boston, MA 02110
Telephone: 617-428-0200
Facsimile: 617-428-7045

\Ntserver\documents\50110\50110.004002 Pet. Correct OFR.wpd

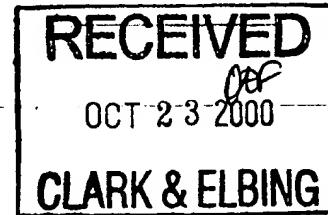


UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/654,323	09/01/2000	1616	2890	50110/004002	86	X	43

Paul T Clark
 Clark & Elbring LLP
 176 Federal Street
 Boston, MA 02110



FILING RECEIPT replace --99--
 OC000000005490144*

Date Mailed: 10/20/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael R. Hayden, Vancouver, CANADA;
 Angela R. Brooks-Wilson, Richmond, CANADA;
 Simon N. Pimstone, Vancouver, CANADA;
 Susanne M. Clee, Vancouver, CANADA;

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Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/526,193

→ replace with priority information
 on enclosed transmittal

Foreign Applications

If Required, Foreign Filing License Granted 10/19/2000

** SMALL ENTITY **

Title

Compositions and methods for modulating HDL cholesterol and triglyceride levels

Preliminary Class

424

Data entry by : WHITE, JACKIE

Team : OIPE

Date: 10/20/2000





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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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WASHINGTON, D.C. 20231
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Bib Data Sheet

SERIAL NUMBER 09/654,323	FILING DATE 09/01/2000 RULE	CLASS 424	GROUP ART UNIT 1616	ATTORNEY DOCKET NO. 50110/004002
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APPLICANTS

✓ Michael R. Hayden, Vancouver, CANADA;
✓ Angela R. Brooks-Wilson, Richmond, CANADA;
✓ Simon N. Pimstone, Vancouver, CANADA;
✓ Susanne M. Clee, Vancouver, CANADA;

**** CONTINUING DATA *******

DJS THIS APPLICATION IS A CIP OF 09/526,193 03/15/2000
WHICH CLAIMS BENEFIT OF 60/124,702 03/15/1999
WHICH CLAIMS BENEFIT OF 60/138,048 06/08/1999
WHICH CLAIMS BENEFIT OF 60/139,600 06/17/1999
WHICH CLAIMS BENEFIT OF 60/151,977 09/01/1999
WHICH CLAIMS BENEFIT OF 60/213,958 06/23/2000

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**** FOREIGN APPLICATIONS *******

NONE- DJS

**IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 10/19/2000**

** SMALL ENTITY **

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no		
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance		
Verified and Acknowledged	<i>DJS</i> Examiner's Signature	<i>DJS</i> Initials	
STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
CANADA	86	72	43

ADDRESS

Paul T Clark
Clark & Elbring LLP
176 Federal Street
Boston, MA 02110

TITLE

Compositions and methods for modulating HDL cholesterol and triglyceride levels

FILING FEE RECEIVED 2955	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other
		<input type="checkbox"/>



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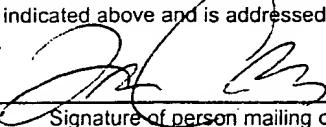
Date of Deposit September 1, 2000

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Luis A. Cruz

Printed name of person mailing correspondence


Signature of person mailing correspondence

UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 CFR §1.53(b)

Attorney Docket Number	50110/004002
Applicant	Michael R. Hayden, Angela R. Brooks-Wilson, Simon N. Pimstone, and Susanne M. Clee
Title	COMPOSITIONS AND METHODS FOR MODULATING HDL CHOLESTEROL AND TRIGLYCERIDE LEVELS

PRIORITY INFORMATION:

This application is a continuation-in-part of and claims priority from United States patent application 60/124,702, filed March 15, 1999; 60/138,048, filed June 8, 1999; 60/139,600, filed June 17, 1999, 60/151,977, filed September 1, 1999; 09/526,193, filed March 15, 2000; and 60/213,958 filed June 23, 2000.

APPLICATION ELEMENTS:

Cover sheet	1 page
Specification	105 pages
Claims	19 pages
Abstract	1 page
Drawing	86 sheets
Combined Declaration and POA, which is: <input checked="" type="checkbox"/> Unsigned; <input type="checkbox"/> Newly signed for this application; <input type="checkbox"/> A copy from prior application [**SERIAL NUMBER**] and the entire disclosure of the prior application is considered as being part of the disclosure of this new application and is hereby incorporated by reference therein.	3 pages
Statement Deleting Inventors	0 pages
Sequence Statement	2 pages
Sequence Listing on Paper	108 pages
Sequence Listing on Diskette	1 disk

Small Entity Statement, which is: <input checked="" type="checkbox"/> Unsigned; <input type="checkbox"/> Newly signed for this application; <input type="checkbox"/> A copy from prior application [**SERIAL NUMBER**] and such small entity status is still proper and desired.	2 pages
Preliminary Amendment	0 pages
IDS	0 pages
Form PTO 1449	0 pages
Cited References	0 references
Recordation Form Cover Sheet and Assignment	0 pages
Assignee's Statement	0 pages
English Translation	0 pages
Certified Copy of Priority Document	0 pages
Return Receipt Postcard	1
FILING FEES:	
Basic Filing Fee: \$345	\$345.00
Excess Claims Fee: 99 - 20 x \$9	\$711.00
Excess Independent Claims Fee: 45 - 3 = 42 x \$39	\$1638.00
Multiple Dependent Claims Fee: \$260/\$130	\$0
Total Fees:	\$2694.00
<input checked="" type="checkbox"/> Enclosed is a check for \$2694.00 to cover the total fees. <input type="checkbox"/> Charge [**AMOUNT**] to Deposit Account No. 03-2095 to cover the total fees. <input type="checkbox"/> The filing fee is not being paid at this time. <input type="checkbox"/> Please apply any other charges, or any credits, to Deposit Account No. 03-2095.	
CORRESPONDENCE ADDRESS:	
Paul T. Clark Reg. No. 30,162 Clark & Elbing LLP 176 Federal Street Boston, MA 02110	Telephone: 617-428-0200 Facsimile: 617-428-7045
<i>Susan M. Michaud</i> Signature Susan M. Michaud Reg. No. 42,585	
<i>September 1, 2000</i> Date	